

## HOW TO OPEN A TRADESTATION ACCOUNT

Snider Advisors is happy to assist you in the process of opening your TradeStation account. This document walks you through the steps of completing the account application.

### Open the Application

If you have multiple accounts, *start with your retirement account* (Traditional, ROTH, etc.).

1. It is important that you use <https://www.tradestation.com/promo/snider-advisors/> to complete your application.
2. Click the **Open Account** link.



### PowerForm Signer Information

1. The link will take you to **PowerForm Signer Information** page. You will enter the **Account Owner** and **Joint Owner** if applicable. *This information should match your Ally account.*
2. After you complete your information, click the yellow **Begin Signing** button.

**TradeStation** BEGIN SIGNING ? HELP

### PowerForm Signer Information

Please enter your legal name and email below. Please contact Jake Hinkle at [jhinkle@tradestation.com](mailto:jhinkle@tradestation.com) with any questions.

Please enter your name and email to begin the signing process.

**Account Owner**

**Your Name: \***

**Your Email: \***

Please provide information for any other signers needed for this document.

**Joint Account Owner (\*\*Leave Blank if there is no joint account owner\*\*)**

**Name:**

**Email:**

→ BEGIN SIGNING

## Electronic Signature

1. Your screen will reload, and you will be prompted to agree to use **electronic records and signatures**. Click the box next to the yellow arrow
2. Click **Continue**.

**Please Review & Act on These Documents** **TradeStation**  
Powered by **DocuSign**

**TradeStation Securities**  
TradeStation

Please enter your legal name and email below. Please contact Jake Hinkle at [jhinkle@tradestation.com](mailto:jhinkle@tradestation.com) with any questions.

→ Please read the [Electronic Record and Signature Disclosure](#)

I agree to use electronic records and signatures.

CONTINUE FINISH LATER OTHER ACTIONS

© 2016, Inc. as applicable to Tradestation

\* In the undersigned's account(s) with TradeStation Securities, Inc., buy, sell (including short sales), exchange, convert, tender or otherwise acquire or dispose of all types of securities, commodities (including commodity futures) contracts and Financial futures contracts and other investments, including

## Third-Party Trading Authorization

1. You will now be prompted to complete the **Third-Party Trading Authorization**. On the second page of this document, you will be asked to review some information.
2. At the bottom of this form, you will enter your **electronic signature**.
3. Click the yellow **Sign** call out toward the bottom of the screen.

DocuSign Envelope ID: 5DAEFBD1-F2E8-4764-AE04-F85E381DC6F7

**TradeStation**  
TradeStation Securities, Inc. & TradeStation Crypto, Inc. Accounts

Account Title: **Shelley Seagler**

Account(s) to be traded by Third Party:

Equities Account# (list account no. below)  Futures Account# (list account no. below)  Crypto Account# (list account no. below)

The following questions must be answered by the agent (authorized trader):

Authorized Trader (also include full name of entity, if Authorized Trader is acting on behalf of an entity)  
Snider Advisors

Name of Employer of Authorized Trader	Title	Type of Business/Industry
Snider Advisors	Officer	RIA

Is the Authorized Trader or Authorized Trader's spouse employed by or associated with an NYSE, FINRA, and/or NFA registered brokerage firm or an exchange?  
 No  Yes  
*If yes, please provide an authorization letter from the member firm with whom you or your spouse are associated. Letter should be on corporate letterhead and signed by a Principal or Compliance officer of the firm. If duplicate confirms and statement are required, an e-mail address must be provided on letter.*

Is the Authorized Trader employed by or associated with a financial institution, investment advisor, money management or registered as a CTA or CPO?  
 No  Yes *If yes, name of firm/entity: Snider Advisors*

Is Authorized Trader a director, 10% shareholder or policy-making officer of a publicly-owned company?  
 No  Yes *If Yes, please list trading symbol(s):*

Please indicate relationship to Account Holder:  
 Broker  Friend  Investment Advisor  Relative (specify )  Other (specify )  
 Trust Administrator  Employee

Authorized Trader's Signature <i>Jesse Anderson</i> <small>301-430-0814</small>	Print Name Jesse Anderson	Authorized Trader's SSN NA
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Authorized Trader's Address  
100 Docker Court, Suite 120, Irving, TX 75062

Authorized Trader's Date of Birth NA	Authorized Trader's Phone Number 214-446-8533	Authorized Trader's E-mail janderson@snideradvisors.com
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**NOTE:** Signatures of all joint account holders are required.

Account Owner's Signature <i>Shelley Seagler</i>	Date 7/27/2023	Account Owner Authorized Signature	Date
Name (and title if on behalf of an entity) Shelley Seagler	Name (and title if on behalf of an entity)		

Important Note: If trading authorization is granted to an entity, a separate list of individuals authorized to place orders on behalf of the entity must be submitted along with completed Associated Persons Information for each individual granted trading authorization. Copies of certified resolution and/or similar authorization documentation for the authorized signatory signing on behalf of the entity must be provided. Passport required for Authorized Traders that do not have a valid tax ID and are non-U.S. citizens. Equities, equity options, and commodity futures products and services are offered by TradeStation Securities, Inc. (Member NYSE, FINRA, CME and SIPC). TradeStation International Ltd is an introducing broker authorized and regulated by the Financial Conduct Authority in the UK. Cryptocurrency and digital asset products and services are offered by TradeStation Crypto, Inc.

04-11-2023  
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4. A window will pop up. Review your signature and click **Adopt and Sign**.

**Adopt Your Signature**

Confirm your name, initials, and signature.

\* Required

Full Name\*  Initials\*

**SELECT STYLE** DRAW UPLOAD

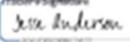
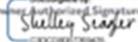
**PREVIEW** [Change Style](#)

DocuSigned by:  
   
C3DCD8D57209425...

By selecting Adopt and Sign, I agree that the signature and initials will be the electronic representation of my signature and initials for all purposes when I (or my agent) use them on documents, including legally binding contracts - just the same as a pen-and-paper signature or initial.

**ADOPT AND SIGN** CANCEL

- The pop-up window will close. Click **Finish**.

<input type="radio"/> Trust Administrator <input type="radio"/> Employee		
Authorized Trader's Signature 	Print Name Jesse Anderson	Authorized Trader's SSN NA
Authorized Trader's Address 100 Decker Court, Suite 120, Irving, TX 75062		
Authorized Trader's Date of Birth NA	Authorized Trader's Phone Number 214-446-8533	Authorized Trader's E-mail janderson@snideradvisors.com
<b>NOTE:</b> Signatures of all joint account holders are required.		
Account Owner Authorized Signature 	Date 7/27/2023	Account Owner Authorized Signature  
Name (and title if on behalf of an entity) Shelley Seagler	Name (and title if on behalf of an entity)	
<small>Important Note: If trading authorization is granted to an entity, a separate list of individuals authorized to place orders on behalf of the entity must be submitted along with completed Associated Persons Information for each individual granted trading authorization. Copies of certified resolution and/or similar authorization documentation for the authorized signatory signing on behalf of the entity must be provided. Request required for Authorized Traders that do not have a valid tier 1 card and are non-U.S. citizens. Equities, equity options, and commodity futures products and services are offered by TradeStation Securities, Inc. (Member NYSE, FINRA, CME and SIPC). TradeStation International Ltd is an introducing broker authorized and regulated by the Financial Conduct Authority in the UK. Cryptocurrency and digital asset products and services are offered by TradeStation Crypto, Inc.</small>		
Third-Party-Trading-Authorization Snider Advisors.pdf		04-19-2023 Page 2 of 2
		<div style="background-color: yellow; padding: 5px; display: inline-block;">FINISH</div>

## TradeStation Welcome

- You will be prompted to either **Sign Up** if you are a New User or **Log In** if you are a Returning User.

Welcome to



**New Users**  
click here to get started

Sign Up

**Returning Users**  
or to finish an application

Log In

To open a **Futures IRA** or an **Entity** account [click here.](#)

## Account Setup

1. After completion of your Third-Party Trading Authorization, you will be prompted to complete your **Account Setup**.
2. Enter the information required in your **Customer Profile**.
3. You will then create your **Login Credentials**. This includes creating a Username and Password.
4. Click the blue **Next** button at the bottom of the screen.

**TradeStation**

1 Account Setup — 2 Personal Information — 3 Employment Information — 4 Financials & Experiences — 5 Summary & Agreements — 6 Finished

### Account Setup

Current client or finishing an application? [Log In](#)

#### Customer Profile

Enter your name exactly as it appears on your driver's license (U.S. only) or government-issued ID (e.g. passport).

First Name  M.I.  Last Name

Country of Legal Residence  State or Province

Email Address  +1

How did you hear about us?  Sales Rep. Name

TradeStation Rep  Jacob Hinkle

#### Login Credentials

Username  ?

Password  ?

Confirm Password  ?

HAVE A PROMO CODE?  Promo Code SA23AGIB

By clicking below, you acknowledge our [Privacy Notice](#) and agree to receive marketing offers from TradeStation Securities, Inc. and its affiliates in the form of emails, phone calls, pre-recorded messages, text messages, and autodialed calls at the email address and phone number provided above, even if the phone number is present on a state or national Do Not Call list. You are not required to provide this consent as a condition of doing business with us and you can withdraw consent at any time. Data rates may apply.

This site is protected by reCAPTCHA and the Google [Privacy Policy](#) and [Terms of Service](#) apply.

[Back](#) [Next](#)

## Configure Your Accounts

1. You will move forward to **Configure Your Accounts**.
2. Select the **Account Type**, either Individual, Joint, or IRA.
  - If you select **IRA**, you will then select the type of IRA you have.
  - If you select **Joint**, you must enter the Joint Owner's information. Each person on the Joint Account must have a unique email address.
3. Next you will select **Accounts to Open**. You should only have **Equities & Options** selected. You must *deselect* Crypto, Futures, and Futures Options.
6. Next, select **Equity Account Settings**.
  - a. **Margin Trading** should be **on** (blue) even if this is an IRA. This does not mean you will be required to use margin, but turning on Margin Trading will help with some important trading efficiencies in your account.
  - b. **Options Trading** should be on (blue).
  - c. Next, slide your **Options Trading Level to Level 4**. This will automatically slide your Investment **Risk Tolerance** to Speculation.
7. Click blue **Next** at the bottom of the screen.

The screenshot shows the TradeStation 'Configure Your Accounts' setup screen. At the top, a progress bar indicates the current step is '1 Account Setup', with other steps being '2 Personal Information', '3 Employment Information', '4 Financials & Experience', '5 Summary & Agreements', and '6 Finished'. The main heading is 'Configure Your Accounts'.

**Account Type:** Three radio buttons are visible: 'INDIVIDUAL' (selected), 'JOINT', and 'IRA'. Two purple arrows point to these buttons.

**Accounts to Open:** Four checkboxes are visible: 'CRYPTO', 'EQUITIES & OPTIONS', 'FUTURES', and 'FUTURES OPTIONS'. The 'EQUITIES & OPTIONS' checkbox is checked, while the others are unchecked. A purple box with the text 'DESELECT EVERYTHING EXCEPT EQUITIES AND OPTIONS' points to the unchecked checkboxes.

**Equities Account Settings:** This section contains several settings:
 

- 'Margin Trading' is turned on (blue toggle). A purple box with the text 'SHOULD BE ON/BLUE' points to this toggle.
- 'Options Trading' is turned on (blue toggle).
- 'Investment Risk Tolerance' is a slider ranging from 'Income' to 'Speculation', currently positioned at 'Speculation'.
- 'Options Trading Level' is a slider ranging from 1 to 5, currently positioned at 4. A purple box with the text 'SLIDE TO TRADE LEVEL 4 FOR ALL ACCOUNT TYPES' points to this slider.

At the bottom right, there is a blue 'Next' button with a purple arrow pointing to it. A dropdown menu at the bottom center shows 'Working with a Sales Representative?' with 'Jacob Hinkle' selected.

## Personal Information

1. You will now enter your **Personal Information**.
2. Your **Identity** includes your Country of Citizenship, Date of Birth, and Social Security Number/ITIN.
3. Fill in your **Residential Address**. If you have a different mailing address, click in the box and enter that address.
4. Click the blue **Next** button at the bottom of the screen.

**TradeStation**

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### Personal Information

**Identity** ?

Country of Citizenship

Date of Birth

Month / Day / Year

Social Security Number / ITIN

**Residential Address** ?

Country  
United States

Street Address 1

Street Address 2

City

State or Province  
Texas

Zip or Postal Code

I have a different mailing address

[Save For Later](#) [Back](#) [Next](#)

Need assistance? [Contact us.](#)

## Employment Information

1. Next use the dropdown to select your **Employer**. If you select Employed or Self-Employed, you will be prompted to supply more information.
2. Next, select your **Affiliations**. If you answer yes to any of the questions, you will be prompted to supply additional details.
3. Click the blue **Next** at the bottom of the screen.

**TradeStation**

Account Setup — Personal Information — **3 Employment Information** — 4 Financials & Experience — 5 Summary & Agreements — 6 Finished

### Employment Information

**Employer**

Employment Status ▾

**Affiliations**

Are you or your spouse associated with or employed by a NYSE, FINRA, and/or NFA registered brokerage firm?

Yes  
 No

Are you a director, 10% shareholder, or policy-making officer of a publicly-owned company?

Yes  
 No

For the purpose of exchange fees, are you deemed a professional subscriber by the exchanges and therefore required to pay higher exchange fees? [Learn more.](#)

Yes  
 No

Save For Later

[Back](#) [Next](#)

Need assistance? [Contact us.](#)

## Financials & Trading Experience

1. You will now use the dropdown bars to enter your **Financials**. If you select an **Approximate Annual Income** under \$50,000 or an **Approximate Net Worth** under \$75,000, you will be asked to specify amount.
2. Use the dropdown bars to select your **Trading Experience**.
3. Click the blue **Next** at the bottom of the screen.

**TradeStation**

Account Setup Personal Information Employment Information **4** Financials & Experience 5 Summary & Agreements 6 Finished

### Financials & Trading Experience

#### Financials

We are required to collect certain financial information for tax purposes.

Approximate Annual Income:  Required

Approximate Total Net Worth:  Required ?

Approximate Liquid Net Worth:  Required ?

What is your Source of Income:  Required

How will you fund your account?  Required ?

#### Trading Experience

Stocks  Years of Experience **None**

Options  Years of Experience **None**

Futures  Years of Experience **None**

[Save For Later](#) [Back](#) [Next](#)

Need assistance? [Contact us.](#)

## IRA Account

1. If you have an IRA Account, you must enter your **Primary Beneficiaries**. You will be required to enter the *Social Security number* for each beneficiary listed.
2. Click the blue **Next** at the bottom of the screen.

**TradeStation**

Account Setup Personal Information Employment Information Financials & Experience **5** Summary & Agreements 6 Finished

### IRA Account

#### Primary Beneficiaries

If more than one beneficiary is listed, make sure percentage is noted and totals 100%.

Primary Beneficiary

Beneficiary Full Name

Select Relationship Share Percentage %

Date of Birth

Month / Day / Year Social Security number

**ADD NEW** TOTAL: 0%

#### Contingent Beneficiaries

Replaces the noted Primary beneficiary if Primary predeceases the Contingent.

**ADD NEW** TOTAL: 0%

[Save For Later](#) [Back](#) [Next](#)

Need assistance? [Contact us.](#)

## Now for the Extras!

1. In the **Now for the extras!** Section, you will be asked if you would like to provide contact information for a trusted contact person aged 18 or older.
2. If you select yes, you will fill in their name, relationship, and address.
3. Click the blue **Next** at the bottom of the screen.

**TradeStation**

Account Setup Personal Information Employment Information Financials & Experience **5** Summary & Agreements 6 Finished

### Now for the extras!

Would you like to provide us with contact information for a trusted contact person age 18 or older?

Yes  No

**Trusted Contact Information:**

Full Name

Relationship

Email Address

Phone Number

**Address:**

Country

Street Address 1

Street Address 2

City

Zip or Postal Code

[Save For Later](#) [Back](#) [Next](#)

[Need assistance? Contact us.](#)

## Identity Verification

1. You *may be asked* to verify your identity. You can use one of the following valid government-issued ID:
  - Driver's License
  - State Issued ID Card
  - US Passport/Passport Card
  - Military ID
2. Click on the blue **Verify Identity** button.

**TradeStation**

Account Setup Personal Information Employment Information Financials & Experience **5** Summary & Agreements 6 Finished

### Identity Verification

As an additional security measure, we need to verify the identity of the account owner(s) listed below by capturing a copy of a valid government-issued ID, such as:

- Driver's License
- State issued Identification Card
- U.S. Passport / Passport Card
- Military ID

Snider Test **Verify Identity**

[Save For Later](#) [Back](#)

Need assistance? [Contact us.](#)

3. When your screen reloads click the blue Get Started button.

**TradeStation**

Account Setup Personal Information Employment Information Financials & Experience **5** Summary & Agreements 6 Finished

### Identity Verification

#### Let's get you verified

It will only take 2 minutes

What you will need:

Your Government Issued Photo ID Your Smartphone

**Get started**

powered by **Secure**

[Save For Later](#) [Back](#)

Need assistance? [Contact us.](#)

4. You *must have a smartphone* for this step. You can either use Option 1: Send a link via SMS or Option 2: Scan QR code.

**TradeStation**

Account Setup Personal Information Employment Information Financials & Experience **5** Summary & Agreements 6 Finished

### Identity Verification

Continue on your phone

Here's how you do it:

**Option 1: Send link via SMS**

We'll text you a secure link to your mobile device at no extra cost

+1

Standard messaging and data rates may apply

**Send SMS**

**Option 2: Scan QR code**

Load the app by taking a photo of the QR Code below on your mobile device



powered by **Secure**

[Save For Later](#) [Back](#)

5. You will receive instructions on your smartphone for how to submit your ID.
6. After you submit your ID, your computer screen will reload. Click the blue **Next** button.

**TradeStation**

Account Setup Personal Information Employment Information Financials & Experience **5** Summary & Agreements 6 Finished

### Identity Verification

Please click **Next** to resume your account application.

**Snider Test** Received

[Save For Later](#) [Back](#) **Next**

Need assistance? [Contact us.](#)

## Upload Documents

1. Next, you *may be asked* to **Upload Documents**. If you selected any affiliations in the employment section, you will be required to submit additional documentation. Uploaded file names cannot include any spaces or additional characters.
2. Click the blue **Next** at the bottom of the screen.

**TradeStation**

Account Setup Personal Information Employment Information Financials & Experience **5** Summary & Agreements 6 Finished

### Upload Documents

We need some additional information to approve your application.

**Documents**

**Employer Authorization Letter**

Upload a copy of your employer authorization letter. As an employee or associate of a NYSE, FINRA and/or NFA registered brokerage firm or US exchange, your employer is required to provide authorization for you to maintain an account with TradeStation.

Click, tap or drop files here to upload

Save For Later Back Next

Need assistance? Contact us.

## Account Summary

1. You should now see your **Account Summary**. You will be able to review your information on this page.
2. Click the blue **Next** at the bottom of the screen.

**TradeStation**

Account Setup Personal Information Employment Information Financials & Experience **5** Summary & Agreements 6 Finished

### Account Summary

You're all set to create your account, take some time to review all the data you've filled here or continue when ready.

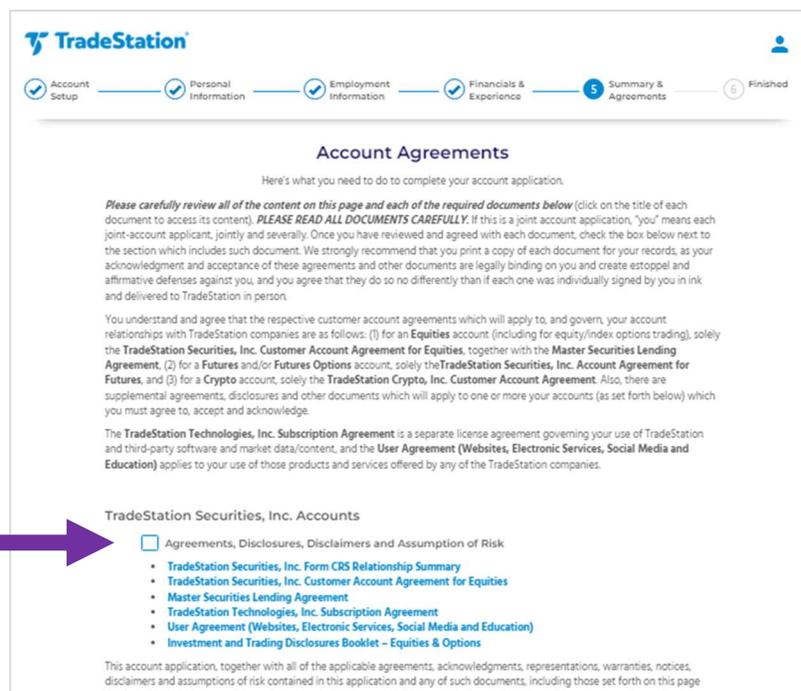
- CUSTOMER PROFILE
- ACCOUNTS
- PERSONAL INFORMATION
- EMPLOYMENT
- FINANCIAL & TRADING EXP.
- BENEFICIARIES
- ADDITIONAL INFORMATION
- UPLOADED DOCUMENTS

Back Next

Need assistance? Contact us.

## Account Agreements

1. The final step is **Account Agreements**. In the middle of this page, you will see links to all the documents required for your account. It is recommended that you read this page, as well as these documents, carefully.
2. Click the box in the **TradeStation Securities, Inc. Accounts** section.



**TradeStation**

Account Setup Personal Information Employment Information Financials & Experience **5 Summary & Agreements** Finished

### Account Agreements

Here's what you need to do to complete your account application.

*Please carefully review all of the content on this page and each of the required documents below* (click on the title of each document to access its content). **PLEASE READ ALL DOCUMENTS CAREFULLY.** If this is a joint account application, "you" means each joint-account applicant, jointly and severally. Once you have reviewed and agreed with each document, check the box below next to the section which includes such document. We strongly recommend that you print a copy of each document for your records, as your acknowledgment and acceptance of these agreements and other documents are legally binding on you and create estoppel and affirmative defenses against you, and you agree that they do so no differently than if each one was individually signed by you in ink and delivered to TradeStation in person.

You understand and agree that the respective customer account agreements which will apply to, and govern, your account relationships with TradeStation companies are as follows: (1) for an **Equities** account (including for equity/index options trading), solely the **TradeStation Securities, Inc. Customer Account Agreement for Equities**, together with the **Master Securities Lending Agreement**; (2) for a **Futures** and/or **Futures Options** account, solely the **TradeStation Securities, Inc. Account Agreement for Futures**, and (3) for a **Crypto** account, solely the **TradeStation Crypto, Inc. Customer Account Agreement**. Also, there are supplemental agreements, disclosures and other documents which will apply to one or more your accounts (as set forth below) which you must agree to, accept and acknowledge.

The **TradeStation Technologies, Inc. Subscription Agreement** is a separate license agreement governing your use of TradeStation and third-party software and market data/content, and the **User Agreement (Websites, Electronic Services, Social Media and Education)** applies to your use of those products and services offered by any of the TradeStation companies.

**TradeStation Securities, Inc. Accounts**

Agreements, Disclosures, Disclaimers and Assumption of Risk

- TradeStation Securities, Inc. Form CRS Relationship Summary
- TradeStation Securities, Inc. Customer Account Agreement for Equities
- Master Securities Lending Agreement
- TradeStation Technologies, Inc. Subscription Agreement
- User Agreement (Websites, Electronic Services, Social Media and Education)
- Investment and Trading Disclosures Booklet – Equities & Options

This account application, together with all of the applicable agreements, acknowledgments, representations, warranties, notices, disclaimers and assumptions of risk contained in this application and any of such documents, including those set forth on this page (collectively, the "Agreement"), may from time to time be modified or amended in whole or in part by any reasonable method of...

3. Scroll down and click **Submit**.

You confirm and affirm that all information, representations, warranties, affirmations, acknowledgements and agreements that you have provided or made in this application are voluntarily and knowingly given and made, are true, complete and accurate, and not misleading in any respect, and you will promptly notify us in writing if any of the information or any representation you have provided materially changes or ceases to be true, complete and accurate.

**Primary Account Holder**

Account Owner  
Snider Test

Date: XX / XX / XXXX  
07/28/2023

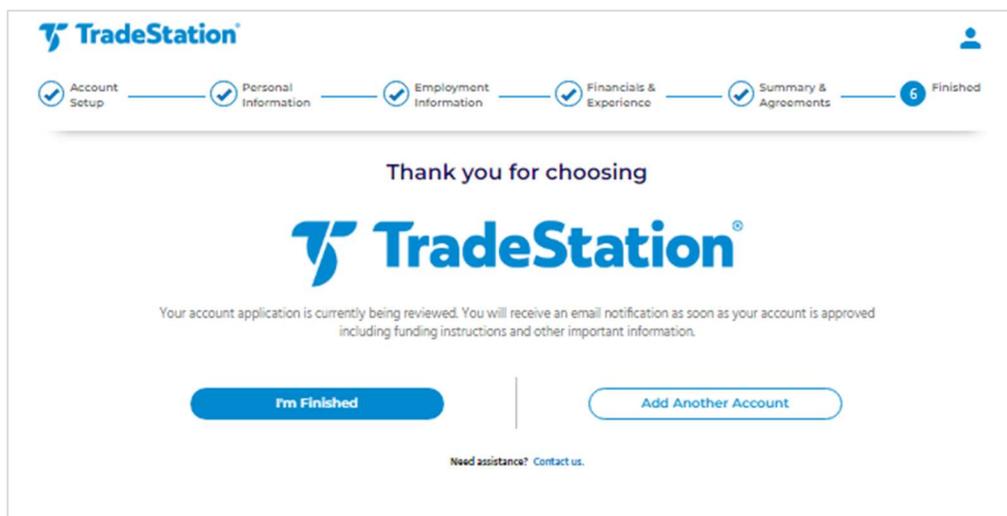
By clicking "Submit," I (we) understand I (we) will submit this account application, agree to electronic delivery, and submit my electronically-signed agreement, confirming that I have read, understood, and acknowledge the important information provided herein.

[Save For Later](#) [Back](#) [Submit](#)

Need assistance? [Contact us.](#)

## You've Completed Your Application

1. When your screen reloads, you can either select **I'm Finished** or **Add Another Account**.



You will receive an email from TradeStation letting you know that they have received your application.

Please contact the Snider Advisors team at [support@snideradvisors.com](mailto:support@snideradvisors.com) or 888-647-6430 with any questions.

## Disclosure

Snider Advisors has an economic incentive for recommending that clients open an account with TradeStation. Specifically, Snider Advisors receives a flat referral payment for each new customer it refers to TradeStation. More detailed information about the relationship and our fiduciary responsibility can be found in our ADV Part 2A. Clients may contact Snider Advisors with any questions about the terms of the agreement with TradeStation.